

AO 121 (6/90)

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| TO: | |
| COMMISSIONER OF PATENTS AND TRADEMARKS (USPTO) P.O. Box 1450 Alexandria, VA 22313-1450 | REPORT ON THE FILING OF DETERMINATION OF AN ACTION OR APPEAL REGARDING A COPYRIGHT |

In compliance with the Act of July 19, 1952 (66 Stat. 814; 35 U.S.C. 290) you are hereby advised that a court action has been filed on the following patent(s) in the U.S. District Court:

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| DOCKET 11-cv-01558 | DATE FILED 03/04/2011 | UNITED STATES DISTRICT COURT, NORTHERN DISTRICT OF ILLINOIS, EASTERN DIVISION |
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| PLAINTIFF GL Trade Americas, Inc. | DEFENDANT Trading Technologies International, Inc. | |
| PATENT NO. | DATE OF PATENT | PATENTEE |
| 6,772,132 | 08/03/2004 | Kemp, II; Gary Allan (Winnetka, IL), Schluetter; Jens-Uwe (Evanston, IL), Brumfield; Harris (Chicago, IL) |
| 6,766,304 | 07/20/2004 | Kemp, II; Gary Allan (Winnetka, IL), Schluetter; Jens-Uwe (Evanston, IL), Brumfield; Harris (Chicago, IL) |
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In the above-entitled case, the following patent(s) have been included:

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|----------------------|--|---------------|--|
| DATE INCLUDED | INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading | | |
| PATENT NO. | DATE OF PATENT | PATENT | |
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In the above-entitled case, the following decision has been rendered or judgment issued:

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| DECISION/JUDGMENT: | | | |
| CLERK Michael W. Dobbins / | Thomas G. Bruton | (BY) DEPUTY CLERK /s/ Eunice M. Tejada / | Travis Grammer DATE 03/07/2011 / 3/02/2012 |